

Investor Charter – Nexedge Investment Adviser Private Limited

SEBI Registration Number: INA000019992

Contact Details:

Registered Address: Unit No. OF-2(A) 4th Floor, MGF Metropolitan Saket Plot no. A-2 and P-2A,
District Centre, New Delhi 110017
Phone: 011 69201130
Email: ria@nexedge.capital
Website: www.nexedge.capital/nia

Purpose:

This charter aims to provide you, our valued investor, with clear information about our services, your rights and responsibilities, and the mechanisms for grievance redressal. We are committed to maintaining transparency and upholding the highest standards of ethical conduct.

I. Vision:

To be a trusted partner in your financial journey, providing unbiased and personalized investment advice to help you achieve your financial goals.

II. Mission:

To deliver client-centric investment solutions with integrity, transparency, and professionalism, ensuring your financial well-being.

III. Services Offered:

- Financial Planning
- Investment Advisory
- Portfolio Management
- Risk Profiling
- Goal-Based Investment Planning

IV. Investor Rights:

- Right to Information: You have the right to receive clear and transparent information about our services, fees, and potential conflicts of interest.

- Right to Suitable Advice: You have the right to receive investment advice that aligns with your risk profile, financial goals, and investment objectives.
- Right to Confidentiality: Your personal and financial information will be kept confidential.
- Right to Grievance Redressal: You have the right to seek redressal for any grievances through our internal mechanisms and SEBI's SCORES platform.
- Right to receive documentation: You have the right to receive copies of all agreements, and other relevant documentation.
- Right to receive communication: You have the right to receive timely and accurate communication.

V. Investor Responsibilities:

- Provide Accurate Information: You are responsible for providing accurate and complete information about your financial situation, risk tolerance, and investment goals.
- Understand Investment Risks: You should understand the risks associated with investing in the securities market.
- Review Documentation: You should carefully review all agreements and documents before signing.
- Communicate Changes: You should promptly inform us of any changes in your financial situation or investment objectives.
- Deal with SEBI Registered Intermediaries: Only deal with SEBI registered intermediaries.
- Raise Grievances Promptly: Raise any grievances in a timely manner.

VI. Obligations of Nexedge Investment Adviser Private Limited:

- SEBI Compliance: We will comply with all applicable SEBI regulations.
- Risk Profiling: We will conduct a thorough risk profiling to understand your risk tolerance.
- Suitability of Advice: We will provide investment advice that is suitable for your individual needs.
- Disclosure of Conflicts: We will disclose any potential conflicts of interest.
- Transparency of Fees: We will clearly disclose our fee structure.
- Client Confidentiality: We will maintain the confidentiality of your personal and financial information.
- Maintenance of Records: We will maintain proper records of all transactions and communications.

VII. Grievance Redressal Mechanism:

Internal Grievance Redressal:

Contact Person: [Karan Prakash Nanda, Principal Officer]

Email: investor.grievance@nexedge.capital

Phone: 011 69201130

We will acknowledge your grievance within 2 business days and aim to resolve it within 30 days.

SEBI SCORES: If you are not satisfied with our resolution, you can file a complaint with SEBI through the SCORES platform (<https://scores.sebi.gov.in/>).

VIII. Do's and Don'ts:

Do's: <ul style="list-style-type: none">• Deal only with SEBI-registered Investment Advisors.• Understand the risks before investing.• Ask questions and seek clarification• Keep records of your investments.• Read all documentation.	Don'ts: <ul style="list-style-type: none">• Invest based on unsolicited advice or guarantees.• Share your login credentials or personal information with unauthorized individuals.• Fall prey to unrealistic promises.• Make investments you don't understand.
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IX. Disclaimer:

Investments in the securities market are subject to market risks. Read all the related documents carefully before investing.

Nexedge Investment Adviser Private Limited is committed to serving your best interests and building a long-term relationship based on trust and transparency.