

Disclaimer

Nexedge Investment Advisors Private Limited (NIAPL) – Disclosures under SEBI (Investment Advisers) Regulations, 2013

- 1. Investment Advisory (IA) services shall be provided to you by a specific division of NIAPL ('NIAPL IA Division'), which will be segregated from and maintain an arm's length relationship with the other divisions of NIAPL without any financial liability.
- 2. Investment Advisory services shall be provided to you only after the IA Division assesses your risk profile and suitability of the product.
- 3. If you wish to avail the investment advisory services of the NIAPL IA Division, you will be required to:
 - 1. Complete risk profiling and know your client (KYC) form in the manner prescribed by NIAPL.
 - 2. Update the risk profiling and know your client (KYC) information periodically as required by NIAPL.
 - 3. Keep the investment advisory division updated of any change in your status or other factors which will result in any change in your risk profile.
- 4. You will not be under any obligation to avail the PMS, stock broking, depository and distribution services offered by any other Nexedge Group entity (including parent, subsidiaries, affiliates and related group companies of NIAPL) or through any other entity recommended by the IA Division of NIAPL.
- 5. Any fees and charges, payable by you for the execution/distribution services availed by you, must be paid directly to the respective service provider and not through the NIAPL IA Division.
- 6. We trust that, before acting on our advice, you have gone through all the relevant information about the product provided to you and have sought requisite clarification about the same.
- 7. NIAPL IA Division does not hold any proprietary positions.
- 8. The NIAPL IA Division shall not receive any consideration by way of remuneration or compensation or in any other form, whatsoever, from any person other than the client being advised in respect of the underlying products or securities for which advice is provided to the client. In case you opt to avail other non-investment advisory



(Non-IA) services of NIAPL or its affiliates, as per the internal norms, the NIAPL IA Division may receive consideration in the range of 5%-20% of the revenue earned.

- 9. NIAPL IA Division shall maintain complete confidentiality of all information provided by you and shall not disclose any such information, without your prior consent except if such disclosure is required to be made in compliance with any applicable law or regulatory direction. NIAPL IA Division will obtain information pertaining to your orders/transactions/portfolio/funds availability/securities availability etc. from Nexedge Group entity (including parent, subsidiaries, affiliates and related group companies of NIAPL) for your investments (if any) to enable us to provide you with informed and appropriate advice.
- 10. Material information about NIAPL/Parent/Associates/Subsidiaries

a) Licensing: Nexedge Investment Advisors Private Limited (NIAPL), a company registered, governed and incorporated under the Companies Act, 2013, offers investment advisory services. It is registered with and regulated by the Securities and Exchange Board of India (SEBI) as an Investment Advisor.

b) Nexedge Group:

| Our Company | |
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| Nexedge Investment Adviser Private Limited (NIAPL) | We are a (i) SEBI registered Investment Advisor having SEBI Registration no- INA000019992. |
| Our Group | |
| Nexedge Distribution Services Private Limited (NDSPL) | NDSPL is an AMFI registered company having AMFI registration number – 316135, a APMI registered PMS distributor with registration number APRN02538 and are also an Authorised person with Mirae Sharekhan. |
| The reference of 'Nexedge' on this website broadly includes Nexedge Capital Ltd and its group & associate companies. | |



c) Disciplinary history: No material action has been taken against NIAPL by any regulator

Conflict of interest:

Nexedge Investment Advisors Private Limited (NIAPL) is Body Corporate and part of Nexedge Group offering "Investment Advisory" under SEBI (Investment Advisors) Regulations 2013. Nexedge Group provides Distribution of Mutual Funds, other permitted In-House and Third party products including execution services through separate SEBI registered entities within the Group. We maintain appropriate Chinese walls as required under SEBI (Investment Advisors) Regulations 2013 including segregation and controls between Investment Advisory and Execution Division. The Execution Division of NIAPL and or Nexedge Group entities (including parent, subsidiaries, affiliates and related group companies of NIAPL) may receive, from time to time, commission from third party product providers for distributing their products